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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.		5352USA		
		First Inventor		VAN LINGERICH, BERNHARD H.		
		Title	BETA-GLUCAN COMPOSITIONS AND PROCESS THEREFORE			
		Express Mail Label No.		ET 758470903US		
APPLICATION ELEMENTS SEE MPEP chapter 800 concerning utility patent application contents.				ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission if applicable, all necessary				
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages: 25] -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies				
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: 6]		ACCOMPANYING APPLICATION PARTS				
5. Oath or Declaration unsigned [Total Pages: 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))				
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)				
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:		11. <input type="checkbox"/> English Translation Document (if applicable)				
Prior application information: Examiner: _____ Group Art Unit: _____		12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		13. <input type="checkbox"/> Preliminary Amendment				
19. CORRESPONDENCE ADDRESS		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below		
Name		GENERAL MILLS				
Address		P O BOX 1113				
City		Minneapolis	State	MN	Zip Code	
Country		USA	Telephone	763-764-2265	Fax	
Name		Michael C. Maier	Registration No. (Attorney/Agent)		36,206	
Signature		[Signature]		Date	2-4-02	

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	n/a
Filing Date	
First Named Inventor	VAN LINGERICH BERNHARD H
Examiner Name	
Group Art Unit	
Attorney Docket No.	5352USA

TOTAL AMOUNT OF PAYMENT (\$920.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
Deposit Account Number 07-0900
Deposit Account Name General Mills, Inc.
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money ☐ Other Order

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	740.00
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	
SUBTOTAL (1)			(\$) 740.00

2. EXTRA CLAIM FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203 9		Claims in excess of 20	
102 84 202 42		Independent claims in excess of 3	
104 280 204 140		Multiple dependent claim, if not paid	
109 84 209 42		** Reissue independent claims over original patent	
110 18 210 9		** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 180.00

**or number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)

Fee Description	Fee Paid
105 130 205 65 Surcharge - late filing fee or oath	
127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
139 130 139 130 Non-English specification	
147 2520 147 2520 For filing a request for ex parte reexamination	
112 920* 112 920* Requesting publication of SIR prior to Examiner action	
113 1840* 113 1840* Requesting publication of SIR after Examiner action	
115 110 215 55 Extension for reply within first month	
116 400 216 200 Extension for reply within second month	
117 920 217 460 Extension for reply within third month	
118 1440 218 720 Extension for reply within fourth month	
128 1960 228 980 Extension for reply within fifth month	
119 310 220 155 Notice of Appeal	
120 310 220 155 Filing a brief in support of an appeal	
121 270 221 135 Request for oral hearing	
138 1510 138 1510 Petition to institute a public use proceeding	
140 110 240 55 Petition to revive - unavoidable	
141 1240 241 620 Petition to revive - unintentional	
142 1280 242 620 Utility issue fee (or reissue)	
143 440 243 220 Design issue fee	
144 600 244 300 Plant issue fee	
146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129 (a))	
149 710 246 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355 Request for Continued Examination (RCE)	
169 900 169 900 Request for expedited examination of a design application	
Advance Copies	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Michael C. Mader	Registration No. (Attorney/Agent)	36,206	Telephone	763-764-2265
Signature		Date	2-9-02		

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